# Case 1:07-cv-05797-SHS Document 1-2 Filed 06/19/2007 Page 1 of 17 NEW YORK CITY BOARD OF EDUCATION DIVISION OF PERSONNEL PERFORMANCE ANNUAL PROFESSIONAL PERFORMANCE REVIEW AND REPORT ON PROBATIONARY SERVICE OF GUIDANCE COUNSELOR

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SECTION 2 - PERFORMANCE EVALUATION ACKNOWLEDGMENT BY EMPLOYEE OVERALL EVALUATION S, U, or D SIGNATURE OF PRINCIPAL I have received this report on: (If other - give title) (D for first year probation only) U SIGNATURE OF EMPLOYEE For the period: 6/30/5 From 9/04 to 6/05 DATE

JOEL I. KLEIN, Chancellor

OFFICE OF THE CHANCELLOR 52 Chambers Street - New York, NY 10007

August 14, 2006

Chancellor's Committee
<a href="Ira Goldberg">Ira Goldberg</a>, Chairperson

Richard D'Auria, L.I.S., and Superintendent District 21, Region 7 715 Ocean Terrace - Bldg. A Staten Island, NY 10301

Carl Santa Maria, Principal P.S. 153 1970 Homecrest Avenue Brooklyn, NY 11229

Josephine Marsala, Principal P.S, 212K 87 Bay 49<sup>th</sup> Street Brooklyn, NY 11214

Glenn Storman - File No. 478435 136 Vassar Street Staten Island, NY 10314

Dear Ms. Marsala and Gentlemen:

Please be advised that the appeal of Mr. Glenn Stroman from the rating of "Unsatisfactory" for the period ending June 2005 has been denied and the said rating is sustained as a consequence of a substantiated incident of corporal punishment.

Sincerely,

Andrés Alonso
Deputy Chancellor,
Teaching and Learning

(as designee for Joel I. Klein, Chancellor)

AA:rs

c: Gary Barton, Deputy Executive Director, Regional Field Services Yvonne Kong, Director, Pedagogic Compensation Genevieve Aloia, Teacher Records Michael Grossman, Advisor, UFT

# Regulation of the Chancellor

Category: STUDENTS Number: A-420

Subject: Pupil Behavior and Discipline - Corporal Punishment Pages: 1 of 5

Issued: 11/16/04

# **ABSTRACT**

This regulation updates and supersedes Regulation of the Chancellor A-420, dated July 1, 2001, regarding prohibition of corporal punishment. It enforces Department of Education Bylaws and includes reporting requirements established under Regulations of the Commissioner §100.2(I)(3)(ii) concerning the use of physical force upon a student for punishment purposes. The Chancellor may change this Regulation consistent with applicable federal and state laws.

# 1. <u>INTRODUCTION</u>

Corporal punishment is prohibited. Disruptive behavior by a student must never be punished by use of physical force. Such behavior usually reflects underlying problems that require guidance intervention. School personnel should take steps to identify the problem(s) and, working closely with parents, help the student receive maximum benefit from the educational program offered at the school. Matters concerning student behavior should be addressed in accordance with Chancellor's Regulation A-443 and the Discipline Code.

# 2. <u>DEFINITIONS</u>

Regulations of the Commissioner §100.2(I)(3)(i) define corporal punishment as any act of physical force upon a pupil for the purpose of punishing that pupil. Such term shall not mean the use of reasonable physical force for any of the following purposes:

- 1. to protect oneself from physical injury;
- 2. to protect another pupil or teacher or any other person from physical injury (e.g. breaking up a physical altercation without using excessive force);
- 3. to protect the property of the school or of others; or
- 4. to restrain or remove a pupil whose behavior is interfering with the orderly exercise and performance of school district functions, powers or duties, if that pupil has refused to comply with a request to refrain from further disruptive acts, provided that alternative procedures and methods not involving the use of physical force cannot be reasonably employed to achieve the purposes set forth in 1 through 3 above.

# Regulation of the Chancellor

Category: <u>STUDENTS</u> Number: A-420

Subject: Pupil Behavior and Discipline - Corporal Punishment Pages: 2 of 5

Issued: 11/16/04

Further, the Bylaws of the City Department of Education state the following:

NO CORPORAL PUNISHMENT SHALL BE INFLICTED IN ANY OF THE PUBLIC SCHOOLS, NOR PUNISHMENT OF ANY KIND TENDING TO CAUSE EXCESSIVE FEAR OR PHYSICAL OR MENTAL DISTRESS. VIOLATION OF THIS BYLAW SHALL CONSTITUTE GROUNDS FOR DISMISSAL.

This Bylaw remains in full force and effect and cannot be waived by prior approval or consent of parent or guardian. Nothing in this Regulation, however, prevents a supervisor from counseling or disciplining an employee for inappropriate conduct that is not otherwise in violation of this regulation.

## 3. NOTIFICATION TO STAFF

The principal must bring to the attention of all members of the staff the Board's policy and rules with respect to corporal punishment.

# 4. REPORTING AN ALLEGATION OF CORPORAL PUNISHMENT

A. Report to the Office of Special Investigations:

Office of Special Investigations 49 Chambers Street – 6<sup>th</sup> floor NY, NY 10007

Voice: (212) 374-5900

Fax: (212) 374-1229/0932

The Chancellor's Office of Special Investigations is responsible for intake, evaluation, review, follow-up, and dissemination of information to various offices within this agency and other appropriate entities with respect to allegations of corporal punishment. All allegations of corporal punishment of students by Department of Education employees, custodial employees, or others must be reported *immediately* to the Office of Special Investigations by the supervisor or designee.<sup>1</sup> Telephone communication must occur before an investigation is commenced by the supervisor or designee.

<sup>&</sup>lt;sup>1</sup>For purposes of this Regulation, "supervisor" means the person acting in a supervisory capacity to the Department of Education employee alleged to have engaged in corporal punishment. If the person who is alleged

# Regulation of the Chancellor

NEW YORK CITY DEPARTMENT OF EDUCATION

Category: STUDENTS Number: A-420

Subject: Pupil Behavior and Discipline - Corporal Punishment Pages: 3 of 5

Issued: 11/16/04

At the time of the communication the supervisor will be advised whether to conduct an investigation or await an investigation by the Office of Special Investigations. Further instruction will be given with respect to completing the A-420/A-421 Form – Alleged Corporal Punishment and/or Verbal Abuse – Report of Investigation (see Appendix), and to whether the staff member who is alleged to have engaged in corporal punishment should be removed from the classroom pending further inquiry. If the supervisor is not the Principal or site supervisor and the alleged corporal punishment occurs on or around school property, the principal or site supervisor must be notified. The principal, in turn must notify the superintendent.

In the event the supervisor is instructed to conduct the investigation, the Office of Special Investigations will provide guidance and instruction at any stage of the inquiry, as needed.

In general, a supervisor should take the following steps, among others:

- Take written statements from the victim and all witnesses as quickly as practicable;
- Separate witnesses prior to taking such statements;
- Meet with the subject of the investigation and give him or her an opportunity to review statements from the victim(s);
- Evaluate all of the evidence and the credibility of all witnesses including the subject of the investigation before substantiating or unsubstantiating a complaint.
- The supervisor must reach and state a conclusion on the A-420/A-421 Form.
- During the course of the investigation, the employee should be removed from the classroom whenever appropriate to safeguard the health, welfare, and safety of students. In determining whether to remove a teacher, the supervisor should consider the prior record of the employee, the likely penalty should the allegations be substantiated, and any other factors relevant to this determination. An employee who has been removed from the classroom pending investigation shall be informed in writing of the nature of the investigation no later than five days after their removal.

to have engaged in corporal punishment is not an employee of the Department of Education, the "supervisor" is the person who is in charge of the site where the incident is alleged to have occurred.

# Regulation of the Chancellor

Category: STUDENTS Number: **A-420** 

4 of 5 Subject: PUPIL BEHAVIOR AND DISCIPLINE - CORPORAL PUNISHMENT Pages:

> Issued: 11/16/04

· Supervisors should consult with OSI whenever technical assistance or other assistance is needed.

The A-420/A-421 Form, completed as instructed, and bearing an original signature of the site administrator, must be filed with the Office of Special Investigations no later than five working days from the report of the incident. However, a supervisor must complete his or her investigation and determine whether discipline is warranted even if he or she fails to file the report within five A duplicate of the A-420/A-421 Form must be forwarded to the Superintendent.

The A-420/A-421 Form (Alleged Corporal Punishment and/or Verbal Abuse – Report of Investigation) should be completed and transmitted by e-mail or fax to the Office of Special Investigations and the Superintendent. The accurate transmission of the completed form is the responsibility of the supervisor. If the A-420/A-421 Form is transmitted by e-mail, the principal must print, sign, and maintain a hard copy.

Whether the investigation is conducted by the Office of Special Investigations or the supervisor, the person alleged to have engaged in corporal punishment must be afforded an opportunity to appear with representation and address the allegations upon 48 hours written notice prior to any action being recommended or taken.

The Principal, in consultation with the Superintendent, and with the Office of Legal Services, or the Office of Appeals and Review must take appropriate action against any staff member found to be in violation of the above Bylaw and this Regulation.

When it has been concluded after an inquiry either by the supervisor or the Office of Special Investigations that the staff member has not committed corporal punishment, or the evidence does not support the allegation, the staff member must be so notified, in writing. If the employee was reassigned during the investigation and there is no other reason for reassignments, the employee must be returned to his or her regular assignment.

#### B. Report to the State

The Regulation of the Commissioner of Education regarding corporal punishment requires a semi-annual report to the Commissioner by January 15<sup>th</sup> and July 15 of each year. The report sets forth the substance of each complaint about the use of corporal punishment received by the local school authorities during the



# Regulation of the Chancellor

Category: STUDENTS Number: A-420

Subject: Pupil Behavior and Discipline - Corporal Punishment Pages: 5 of 5

Issued: 11/16/04

report period, the results of each investigation and the action, if any, taken by the local school authorities in each case.

The Summary Corporal Punishment/Verbal Abuse Form is to be completed by December 15<sup>th</sup> and June 15<sup>th</sup> of each year. It is to be signed by the Principal and forwarded to the appropriate Superintendent for signature. The Superintendent is to forward the form to the Office of Special Investigations, Corporal Punishment Unit by December 30<sup>th</sup> and June 30<sup>th</sup> of each year.

# 5. <u>INQUI</u>RIES

Inquiries pertaining to this regulation should be addressed to:

Telephone 212-374-5900

Office of Special Investigations NYC Department of Education 49 Chambers Street – 6<sup>th</sup> floor NY, NY 10007

Fax 212-374-1229 212-374-0932

# THE NEW YORK CITY DEPARTMENT OF EDUCATION JOEL I. KLEIN, Chancellor OFFICE OF THE CHANCELLOR

TO:

Ms. Josephine Marsella

FROM:

Thomas Hyland

Deputy Director

**SUBJECT:** 

Mr.Glern Storman

Tenured Teacher, File # 478435

PS 212K/Region 76 Case # 04-7998CP

The Chancellor's Office of Special Investigations has completed its investigation into the allegations of corporal punishment against Mr. Glen STorman, SS# 0107-42-3568. Based on information and facts gained during the investigation, it has been determined that this matter is:

SUBSTANTIATED: 

UNSUBSTANTIATED: 

□

If this matter was substantiated a copy of this office's closing memorandum will be mailed to you for review and appropriate action. If this matter is unsubstantiated and the above named individual has been reassigned as a result of this investigation, please return him/her to service immediately.

Should you have any questions or need assistance, please call Confidential Investigator Dennis Boyles, at (212) 374-7835.

JOEL I. KLEIN, Chancellor

OFFICE OF THE CHANCELLOR

#### **MEMORANDUM**

DATE:

February 9, 2005

TO:

Thomas W. Hyland

**Deputy Director** 

FROM:

Dennis Boyles

Confidential Investigator

SUBJECT:

Mr. Glen Storman

Guidance Counselor, Tenured Teacher, File # 478435

PS 212K/Region 7 Case # 047998

## **ORGIN OF COMPLAINT**

On 11/16/04, Ms. Josephine Marsella, Principal, PS 212K, contacted the Office of the Special Commissioner of Investigation and reported that Parent A contacted her and stated that she is not sending Student A, Male, DOB 04/10/04, to school because on 10/26/04, Mr. Storman approached Student A, and brushed a rolled up piece of paper against his lips. Parent A stated that Student A believes that Mr. Storman was acting out a sexual fantasy. OSCI referred case # 2004-2557 to OSI for investigation.

#### BACKGROUND

- 1. A search of the OSI database disclosed that Mr. Storman has one prior case for harassment, Case # 01-0774, which was unsubstantiated. He has no prior CPU, OIG or OPI cases.
- 2. Technician Lawrence, BCI, NYPD, advised that Mr. Storman does not have a criminal history.

# RESULTS OF INVESTIGATION

On 12/14/04, Investigator Dennis Boyles responded to PS 212K. Ms. Josephine Marsella, Principal, File # 455034, was interviewed. She stated that on 11/16/04, that Parent A (father) called her and stated that he is not sending Student A, Male, DOB 04/10/04, to school because on 10/26/04, Mr. Storman approached Student A and brushed a rolled up piece of paper against his lips. Student A believes that Mr. Storman was acting out a sexual fantasy. Parent A indicated that he believed that Mr. Storman was "getting off" when he did this. Ms. Marcella further stated that when she spoke to Student A, he indicated that he was being disrespectful to a substitute teacher when Mr. Storman

entered the classroom. She spoke to Mr. Storman who prepared a written statement regarding the incident in which he made an admission to touching Student A's mouth with a piece of paper, in an effort to quiet him. Ms. Marsella contacted the Office of the Special Commissioner of Investigation and reported the incident. Ms. Marcella further stated that on 11/16/04, Student A's class had a substitute teacher and Mr. Storman was responding to the class to pick up another student that he services. Ms. Marsella further stated that all the students in the class are Special Education, learning disabled. Student A was not present the day OSI visited.

The following Students were selected randomly and were interviewed in the presence of Ms. Marcella.

Student B, Male, DOB 07/18/93, was interviewed and stated that on 11/16/04, Ms. Massad was a substitute teacher and was teaching his class. Student A was talking and when Ms. Massad told him to be quiet, Student A was disrespectful and was cursing at her. Mr. Storman came into the room and told Student A to be quiet and not to curse. He did not see Mr. Storman touch Student A with a piece of paper.

Student C, Male, DOB 09/24/93, was interviewed and stated that he had no knowledge of the incident.

Student D, Female, DOB 09/30/93, was interviewed and stated she does not remember the incident.

Student E, Female, DOB 07/28/93, was interview and stated she does not remember the incident.

Student F, Male, DOB 10/03/93, was interviewed and stated he does not remember the incident.

Student G, Male, DOB 07/07/93, was interviewed and stated he does not remember the incident.

Student H, Male, DOB 01/21/92, was interviewed and stated that on 11/16/04, Ms. Massad was a substitute teacher and was teaching his class. Student A was talking and when Ms. Massad told him to be quiet. Student A then spoke to Ms. Massad in a disrespectful manner and he cursed at her. Mr. Storman came into the room and told Student A to be quiet and not to curse. He did not see Mr. Storman touch Student A with a piece of paper. He further stated that he had a conversation with Student A in which Student A said he was going to get Mr. Storman in trouble.

Student I, Male, DOB 03/15/93, was interviewed and stated that on 11/16/04, Ms. Massad was a substitute teacher and was teaching his class. Student A was talking and when Ms. Massad told him to be quiet, Student A was disrespectful and was cursing at Ms. Massad. He did not see Mr. Storman do anything inappropriate to Student A.

On 12/15/04, Student A, Male, DOB 04/10/94, was interviewed in the presence of his father and Assistant Principal, Deborah Dellcomo. Student A admitted that on 11/16/04, he was talking in class and was disrespectful. Mr. Storman came into the class and approached him and brushed a piece of paper on his lips and told him to be quiet. Student A further stated that he does not believe that Mr. Storman's actions were sexual in nature. Student A, when questioned, stated that Mr. Storman did not hurt him, but that he was embarrassed at the time of the incident.

On 12/16/04, Mr. Glen Storman, File # 478435, was present at OSI with Mr. Arthur Solomon, UFT Representative. This investigator advised him of the allegation made by Student A. Mr. Storman stated that he went to Student A's class to pick up a student that he services. The class had a substitute teacher. Student A was talking in a disrespectful manner to the teacher and was cursing. He approached Student A and he was holding a rolled up piece of paper in his hand. He might have brushed the piece of paper against Student A's lips and told him to be quiet. Mr. Storman denied that his actions were sexual in any way. Mr. Storman further stated that in retrospect he should not have touched Student A with the piece of paper.

#### **CONCLUSION**

The allegation that Mr. Glen Storman placed a rolled up piece of paper into the mouth of Student A in a sexual manner is unsubstantiated. However, that Mr. Storman should not have made physical contact with Student A when he was reprimanding him.

#### RECOMMENDATIONS

It is recommended that a copy of this report be forwarded to the Ms. Josephine Marcella, Principal, PS 212K, for whatever disciplinary action she deems appropriate.

Investigator

teeth.

Now, when you stated, we're going back to the -- however, that Mr. Storman should not have made physical contact with Student A when he was reprimanding him.

Now, did you ever --

(Whereupon, the Side A of the tape ended.)

Now, are you aware that the regulation of corporal -- well, first of all, do you feel that the statement that was made by Thomas Hylan, when he communicated this to Ms. Josephine Marcella, he well-substantiated.

Now, according to your belief, do you believe that corporal punishment had taken place at all?

MR. BOYLES: No, that's a boiler

plate letter. I believe that

inappropriate physical contact was made.

Corporal punishment and inappropriate

physical contact sometimes are two

different things, okay. If, you know, if

a teacher hauls off and smacks the kid in

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1	39
2	the face, I mean, that's corporal
3	punishment, as opposed to inappropriate
4	physical contact. I didn't feel that this
5	rose to the level or corporal punishment.
6	I felt that it rose to the level of
7	inappropriate physical contact, and I
8	recommended that the case be forwarded to
9	Ms. Marcella for whatever disciplinary
10	actions she deemed appropriate.
11	MR. GROSSMAN: Let me go back to Ms.
12	Marcella.
13	Ms. Marcella,
14	MS. MARCELLA: Yes.
15	MR. GROSSMAN: when you received
16	document 2.0 from Thomas Hylan and then
17	saw the "x" mark that substantiated, did
18	you believe that the corporal punishment
19	was substantiated?
20	MS. MARCELLA: Yes.
21	MR. GROSSMAN: Okay, but, however,
22	you just heard Mr. Boyles indicating that
23	he did not believe it was corporal
24	punishment, but, however, it was just
25	touching.

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40 1 MR. BOYLES: Inappropriate touching, 2 is what I said. 3 All right. His MR. GROSSMAN: 4 opinion was inappropriate touching. 5 6 MS. MARCELLA: But based --7 MR. GROSSMAN: -- based upon what 8 you just heard, do you think that this 9 would merit an unsatisfactory rating, and 10 if not, would you be willing to change 11 this to satisfactory? 12 MS. MARCELLA: Well, I'll tell you, 13 I feel that this inappropriate touching 14 should not have happened. It may not rise 15 to the level of corporal punishment, as 16 Mr. Boyles has just stated, but in this 17 building here, and I have several 1.8 conferences on corporal punishment and 19 verbal abuse, this child was embarrassed. 20 To me, that rises to a level, and I don't 21 understand how you can equate two 22 different teachers, one that never had 23 inappropriate contact with a child and one 24 that does, and how both of them can get 25

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Exhibit E: Transcript

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this to Mr. Boyles when he was questioning

Yes.

MR. GROSSMAN: And do you feel that what he wrote, what he paraphrased what you had said was taken out of context?

Yes, it was.

What you are saying right here is the absolute truth --

Absolute truth.

-- of what occurred? MR. GROSSMAN:

Correct.

MR. GROSSMAN: Beyond this, I'm going to -- I have a document which was written by Arthur Solomon (phonetic), he is a UFT representative, who had accompanied Mr. Storman at the interview with Mr. Boyles, and I'll read to you what he printed that he signed his name, UFT representative. To whom it may concern, on December 16th, 2004, I accompanied Mr. Glenn Storman at the OSI. The last statement, paraphrasing Mr. Storman regretting touching Student A, was taken

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out of context. He said that he may have moved towards him, and if he touched him with the paper in his hand, it was accidental.

So, this is from --

VOICE: (Inaudible) document

MR. GROSSMAN: That will be document

1.

Now, I also had the regulation of the Chancellor, which is A420. So, even if Mr. Storman had accidentally touched the child, the student on the lips, it says very clearly that corporal punishment shall not mean the use of reasonable physical force for any of the following purposes, and the one that would fit Mr. Storman would be to protect another pupil or teacher or any other person from physical injury, and Mr. Storman felt that this was escalating into something that could have been violent because other students were seeing that this student was getting away with harassing and cursing at another teacher. So, therefore, he did

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